

# **ADMISSIONS POLICY AND PROCEDURE**

DATE APPROVED	November 2023		DATE OF NEXT REVIEW	November 2024
POLICY OWNER(S)		Director of Wellbeing and Finance Director		
DESIGNATION		Centre		



Purpose of policy	This policy sets out the values, principles and criteria underpinning the approach of St Elizabeth's Centre's to Admissions, Transitions, Discharges and Admissions Appeals; and a clear and streamlined application and assessment process, providing accessible and accurate information within agreed timescales.			
Intended audience	All staff			
Links to other policies	Consent to Care & treatment Policy Epilepsy Policy Epilepsy Assessment, monitoring and care planning Guidance Dignity Respect & Choice Policy Good Governance Policy Mental Capacity statement & Herts Policy Person Centred Planning Policy Statement of Purpose Supported Living 'Reach Standards'			

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## **Glossary of Terms and Acronyms:**

CQC	Care Quality Commission
CYP	Children and Young People
DfE	Department of Education
ESFA	Education and Skills Funding Agency
EHCP	Education Health and Care Plan
FP10	Prescription Form
MDT	Multi-Disciplinary Team
NOK	Next of Kin

## St Elizabeth's Centre Admissions Policy and Procedure

#### 1. Introduction

- 1.1. This Admission Policy and Procedure ("Policy") sets out:
  - the values, principles and criteria underpinning the approach taken by St Elizabeth's Centre ("Charity") to admissions to the Charity, transitions from one service to another within the Charity, to discharges and appeals against a decision regarding an admission, discharge or transition; and
  - b) a clear and streamlined application and assessment process, providing accessible and accurate information within agreed timescales.
- 1.2. The Charity operates three core services:
  - c) St Elizabeth's School (non-maintained special school) and Children's Home ("School"):
  - d) St Elizabeth's College (section 41 exempt special post-16 institution) and Supported Living ("College"); and
  - e) St. Elizabeth's Adult Services provision: an off-site supported living setting in Windhill, Bishops Stortford; and the Day Opportunities Service on site at St. Elizabeth's Centre.
- 1.3. The Charity is its own admissions authority i.e. the body that deals with admissions for the School, Children's Home, College and Supported Living, off site Windhill and Day Services with age ranges as follows:



- a) The School and Children's Home cater to pupils aged from 5 (Day students only),
   7 18 years for residential (Children's Home). Pupils may enter at any stage of their school career, subject to this Policy and where vacancies arise;
- b) The College and Supported Living (Centenary Close) cater to learners aged from 19 25 years. Learners may enter at any stage of their College career, subject to this Policy and where vacancies arise.
- c) The Adult service provision caters for those 18 years and over at the off-site setting in Windhill, Bishops Stortford and Day Opportunities on site at St. Elizabeth's Centre. Adults may be admitted at any point, subject to this Policy and where vacancies arise.
- 1.4. The Policy is applied in accordance with legislation that is applicable to each of the three core services, as well as to the Charity as a whole, including but not limited to:
  - a) The Children and Families Act 2014;
  - b) The Human Rights Act 1998; and
  - c) The Equality Act 2010.
- 1.5. The Policy is written in accordance with the standards set by:
  - a) Ofsted:
  - b) Care Quality Commission;
  - c) Department for Education (DfE);
  - d) Education Skills Funding Authority (ESFA); and
  - e) Council contracts monitoring.
- 1.6. The Charity's core values are 'aspirational, compassionate, creative, collaborative and joyful' The Charity's Executive Team works to ensure the core values are understood by all staff, learners and permeate through both education, care and health delivery.
- 1.7. The admissions, transition, discharge and admissions appeals processes are non-discriminatory all applicants are considered in accordance with the admission criteria (see Section 3, below).
- 1.8. St Elizabeth's Centre is a Roman Catholic charity that welcomes people of any or no denomination and works closely with individuals, their loved ones and/or their legal representatives, to ensure that the Charity meets all person-centred, religious, cultural and spiritual needs.
- 1.9. The Policy reflects the principle of meeting applicants' individualised needs, wherever possible. Careful assessment, good person-centred planning and communication are essential in order to ensure individuals obtain the services identified as appropriate to their needs.



#### 2. Criteria for admission

- 2.1. The Charity welcomes applications from those who will benefit from the care, education, specialist health and therapy support, as well as the relevant curriculum offer in respect of applicants to the School or College.
- 2.2. Applicants' individualised needs, and the Charity's ability to meet those needs, are assessed against the following admissions criteria:
  - a) A diagnosis of a learning disability and/or other complex medical need, often caused or complicated by severe epilepsy and/or autism;
  - b) Application supported by statutory agencies;
  - Appropriate support and funding is in place (in principle or actual) from the applicant's funding/placing authority;
  - d) Where the applicant is seeking a residential placement, the availability of an appropriate house / bungalow / setting (Windhill); and
  - e) Availability of an appropriate and compatible peer group.
- 2.3. In addition, the following criteria shall be adhered to when an individual is applying for a place in the School or College, only:
  - a) Evidence that the Charity can meet specific identified needs, as outlined in a Child or Young Person's Education, Health and Care Plan (EHCP);
  - b) Evidence of learning potential and capacity to learn;
  - c) Evidence that the individual (over 16) wishes to attend an education setting;
  - d) In respect of College applicants, that the individual meets the eligibility criteria for funding (https://www.gov.uk/government/publications/adult-education-budget-aeb-funding-rules-2023-to-2024/esfa-funded-adult-education-budget-funding-rules-2023-to-2024#glossary and https://www.gov.uk/government/publications/adult-education-budget-aeb-funding-rules-2023-to-2024/esfa-funded-adult-education-budget-funding-rules-2023-to-2024)
- 2.4. For to those applying for supported living placements, an applicant (with the required support as determined by a mental capacity assessment) must also agree to the conditions set by Peabody Housing Association (College) or Clarion Housing Association (Windhill), to enter into a license agreement to reside in such accommodation.
- 2.5. It is a criterion for entry that day (non-residential) School applicants be adequately transported to and from School. The Charity will ask that the relevant funding authority make the necessary arrangements for this. In special circumstances, notably where an agreement has been reached between the funding authority and the family, the transport costs and arrangements may be the responsibility of the parents.
- 2.6 When considering applications for admission, applicants for residential placements may be prioritised over applicants for day placements and applicants for 52 week placements



may be prioritised over 38 week placements. The Charity may prioritise placements expected to be for a longer duration above those of a shorter duration.

## 3. Application process

- 3.1. The first step is for the prospective applicant and their family, where appropriate, to contact and visit St Elizabeth's Centre. The Centre has a specific e-mail contact address: admissions@stelizabeths.org.uk.
- 3.2. Open Days may be held by both the College and School but arrangements can be made for visits to take place outside of these events. The Admissions Team will arrange and co-ordinate these visits in collaboration with the appropriate specialist teams.
- 3.3. Referrals can be made with or without a visit.
- 3.4. Consultation/external enquiries are accepted on behalf of the prospective applicants from an appropriate professional, such as the Local Authority, a family member or a representative.
- 3.5. Applicants' details are entered onto a restricted database, as part of an internal monitoring system.
- 3.6. The Admissions Team will triage the information received and ensure that the Charity can meet the individual's needs, wishes and aspirations, before progressing any further, in accordance with the admissions criteria set out at section 2.
- 3.7. If the Admissions Team consider that the referral is relevant and appropriate the multidisciplinary teams will be informed and will review the information provided within 48 hours of receipt. On some occasions further documentation will be requested, which will extend the review period.
- 3.8. If the Admissions Team concludes that the Charity cannot meet the individual's needs, the enquirer is contacted to inform them of the decision.
- 3.9. It is a requirement for all School and College applicants that individuals have an EHCP, a copy of which will be requested alongside any other additional information, for example behaviour support plans and risk assessments, therapy reports, care plans and guidelines, medical reports and medication details.
- 3.10. After reviewing the supplied documentation, if it is established that the Charity <u>may</u> be able to meet the applicant's needs, an assessment will be carried out by the multi-disciplinary team.

#### 4. Assessment Process

4.1. An initial assessment will be arranged at St Elizabeth's Centre and/or at the individual's home or current school or college, or other professional provider setting.



- 4.2. Staff attending the assessment on behalf of the Charity will vary, depending on the needs of the individual and service required.
- 4.3. The assessment is a further opportunity to establish a fuller picture of the individual's needs, wishes and aspirations. This can take some time to complete. However, it is an essential part of the admissions process.
- 4.4. Following assessment, the individual's case will be discussed by the multi-disciplinary team and a decision will be made as to whether to make a provisional offer.
- 4.5. The outcome of the assessment will be shared with all relevant parties and a formal provisional offer will be sent by letter to the next of kin or person representing the individual or professional body/local authority representative, as applicable and the funding authority.

## 5. Formal documentation and funding of placement

- 5.1. Funding authorities will require information on the proposed fees for the placement. This will be compiled by the Charity's finance department, based on the multi-disciplinary team's assessment and the number of hours that they have calculated as being essential to provide the individual with the service(s) that they require. All fee proposals must be approved by the Charity's CEO and Finance Director (or in the absence of one of them, a member of the Executive Team in their place) before they are submitted to the Funding Authority.
- 5.2. Support and expenses to enable an applicant's attendance for non-emergency medical appointments (e.g. with consultants) not local to the Charity may incur charges for transport and additional staff. Incontinence supplies/support shall remain the responsibility of the Placing authority.
- 5.3. Highly specialised dietary needs can be supported but may require additional funding.
- 5.4. Acceptance of a place at the Charity is an agreement to an annual fee increase yearly on 1<sup>st</sup> September.
- 5.5. If a Funding Authority wishes to amend the terms of the placement, any requested changes shall be reviewed by the Charity and charged against its applicable charging structure at that time.
- 5.6. In the event that at any time following agreement of terms for a placement the Charity is unable to meet an individual's needs or the circumstances surrounding a placement change, the Charity will inform the Funding Authority of any increase in charges required to continue the placement.



- 5.7. The proposed fee details will be sent at the same time as the provisional offer letter to the relevant funding authority.
- 5.8. Placement cannot proceed without written confirmation from the relevant funding authority that the fee has been agreed. It is a requirement that a contract between St Elizabeth's Centre and the relevant funding authority (education, social care and or health authority, or parent/care in the case of Day Opportunities) be entered into before a place may be formally offered. A start date will be proposed once this signed contract has been received from the relevant funding authority
- 5.9. Once all contractual formalities are complete, the Admissions Team will notify the relevant Heads of Service and Day Opportunities of the proposed start date.
- 5.10. At this stage, all documentation is transferred to the relevant service area to commence the transition process. For admissions into all services transition planning meetings with all relevant parties will take place.

## 6. New transitions into a Service

- 6.1. The transition period can be an exciting time for individuals where they will be developing their skills, gaining independence and moving onto to a new milestone in their lives.
- 6.2. The transition period can also be a very anxious time for the individual and their family. It is important to recognise that the transition may be as difficult for the family as it may be for the individual.
- 6.3. Transition considerations must also be given to those already using the service. Preparation and planning with the existing individuals who are resident at and receiving their education from the Charity is essential.
- 6.4. If the individual will live at St Elizabeth's Centre, then the Children's Home and Supported Living Bungalow Manager will ensure that all the elements of the care plan are compiled prior to the individual moving in. For Day admissions the School and College will develop and implement the care plan within 7 days of the student or learner's start date. This will be a collaborative approach from the multi-disciplinary team, with the individual and their representatives kept at the centre of the process. For those transitioning into Adult Services, Windhill and Day Opportunities staff will ensure all care planning, risk assessments and provision is fully prepared in accordance with the Charity's robust quality systems and regulatory standards.
- 6.5. When transitions occur from School to College the Heads of Service working closely with the Admissions Team will manage the arrangements for a supportive transition including visits to College in the final term of the School placement. This will take place irrespective



- of approved funding/contract, but as a supportive approach to potential new College learners.
- 6.6. If the individual is going into Supported Living, then license arrangements will be produced by Peabody Housing Association and 'sign up' day organized by the Admissions Team and Managers of Supported Living.
- 6.7. If the individual is attending for education only, then the Head Teacher or Head of College or a designated lead will take responsibility for the transition, alongside the Admissions Team.
- 6.8. An initial review meeting is arranged within the first six weeks. In the event of any concerns, the multi-disciplinary team may schedule an earlier review.
- 6.9. Alongside the above parents/carers/guardians are required to complete and return before the agreed start date specific documentation. This will include consents, details for uniforms, contacts, and other relevant information.
- 6.10. The Charity is unable to transition children into Children's Home, Centenary Close, Windhill or Day opportunities without receipt of all medical information. Parents/carers/guardians, and where appropriate local authorities must provide a supply of 28 days medication and a current FP10 (repeat prescription). Instructions on the medication labels must match the FP10 to ensure GP and pharmaceutical requirements are up-to-date. Individuals should also be provided with any other personal care resources (if appropriate) on admission.

# 7. Internal Transitions (This section applies to adult, and Children's Home placements only)

- 7.1. Any transition process must be agreed with all parties involved and with the best interest of the individual as the core focus.
- 7.2. If the transition involves an individual over the age of 16, then a mental capacity assessment must be carried out, to determine the individual's capacity to understand and be able to make an informed decision about their future. If required, an independent advocate may need to be involved.
- 7.3. The service area will hold an initial multi-disciplinary meeting involving all concerned parties and an agreement will be reached regarding the internal transition. The initial assessment paperwork will be completed by each discipline.
- 7.4. In the event of a safeguarding issue, the Charity retains the right to move an individual, so as to ensure that all residents are protected within the safeguarding framework.



7.5. Following a move, the individual and where appropriate their loved ones or representative will meet with the relevant manager to ensure that they have settled well.

## 8. Discharge Process

- 8.1. All placements should be reviewed at least annually. This is to ensure that the services provided by the Charity still meets the needs, wishes and aspirations of the individual, as outlined in Education, Health Care Plans (EHCPs) and health and care services.
- 8.2. Any individual who wishes to explore a move to an alternative lifestyle will be supported to do so in a planned way.
- 8.3. The Charity may also identify that an individual's needs, wishes and aspirations can be supported in another setting, for example, supported living.
- 8.4. If this is the case, this will be explored with the individual, their funding authority and where appropriate their loved ones or representative.
- 8.5. In exceptional circumstances, it may be necessary to bring the placement to an end. This is known as a 'breakdown of placement' or 'managed move'. A breakdown of placement may be due to, but not limited to, any of the following non-exhaustive examples:
  - a) a change in needs of the individual rendering the Charity unable to meet those needs:
  - b) a serious escalation in behaviours, which depletes the Charity's ability to safely and effectively support the individual and/or other individuals using the service;
  - c) an individual, or their representative's, choice to move;
  - d) the outcome of a safeguarding issue; or
  - e) non-payment of fees.
  - In respect of School and College, further information can be found in the Suspension and Exclusions policy.
- 8.6. The Charity reserves the right to serve notice, in accordance with the contract that is signed on admission. The Charity will make every effort with all relevant parties to seek solutions to prevent a breakdown in placement. Notice will only be given in the event that all available options have been exhausted.
- 8.7. If the Charity has no alternative but to give notice, this will be issued to the individual, their loved ones and the relevant funding authority. In respect of Supported Living, Peabody Housing Association has the right to serve notice in accordance with their license agreement.
- 8.8. The approach to discharge planning will be to ensure that the individual's voice is heard and their needs, wishes and aspirations are known to the new provider, if applicable.
- 8.9. If necessary, an independent advocate may be required.



8.10. The relevant funding authority will be responsible for leading on the discharge but will be fully supported by those staff at St Elizabeth's Centre who know the individual well. Endings can be a time of sadness so it is important that closures are carefully planned.

### 9. Admissions Appeals Process

- 9.1. St Elizabeth's reserves the right to refuse a placement if:
  - a) the School/Children's Home, College/Supported Living, Day Opportunities or Windhill is unsuitable for the applicant's age, ability, aptitude or special educational needs; or
  - b) the applicant's attendance would be:
    - (i) in respect of the School or College, incompatible with the efficient education of others; or
    - (ii) incompatible with the efficient use of resources.
  - c) No vacancy / space is available. If a placement is suitable applications will be managed through a 'waiting list'.
  - d) No fee acceptable to the Charity is agreed by the funder or funding authority.
- 9.2. If the outcome is that a placement cannot be offered, then the Charity offers applicants the opportunity to appeal the decision. Appeals will only be successful if it can be demonstrated that the Charity's admissions process was not applied in accordance with the legislation set out in paragraphs 2.4 and 2.5 above.
- 9.3. Appeals should be made in writing to the Admissions Team. The Admissions team will acknowledge receipt of the letter in writing and provide a copy of this policy. The appeal will then be passed on to the relevant director of the service area.
- 9.4. The information that formed the basis of the decision will be reviewed by the relevant member of the Executive Team. The director will make a formal request to reconvene the multi- disciplinary team who made the decision, so that it can reconsider the application.
- 9.5. Additional information may be requested from other agencies if appropriate.
- 9.6. The outcome of the decision will be conveyed by the service area director, by letter, to the person making the appeal.
- 9.7. If the outcome is to accept the appeal, then the reasons why the decision has been changed will be outlined in the letter.
- 9.8. If the outcome is to decline the appeal, then the reasons why the decision has not been changed will be outlined in the letter.



- 9.9. In respect of School and College applicants, the Admissions Team will notify both the applicant and the relevant local authority of the decision, so as to inform the local authority's decision as to whether the Charity should be named on an applicant's EHCP.
- 9.10. If the applicant is still not satisfied with the outcome, they should seek advice from their funding authority.

## 10. Quality Monitoring

#### Internal

- 10.1. In order to monitor the effectiveness of the systems and processes, the Charity's Executive Team undertakes a quality improvement evaluation on an annual basis.
- 10.2. The impact of the policy will be monitored by considering:
  - a) the application process, including unsuccessful applicants;
  - b) retention and success of adults, children and young people;
  - c) appeals; and
  - d) compliments/complaints.

#### **External**

- 10.3. External quality monitoring of this Policy may be carried out during inspection visits, in accordance with the relevant frameworks and cycles, by:
  - a) Ofsted:
  - b) Care Quality Commission; and
  - c) Hertfordshire Contract Monitoring service.

#### 11. Policy Approval & Review Process

This policy will be reviewed by the Charity's Board of Trustees annually.



### Appendix 1: Admissions pathway

#### STAGE 1 OPTIONS:

Assessments are managed through a blended model to ensure we and the potential applicant needs can be met.

## MAXIMUM of 4 assessments per week unless agreed otherwise by Admissions MDT

- A Joint MDT Initial Assessment (via Zoom) including manager from identified prospective Children's Home(s), if applicable.
- Assessment at current provider, i.e. education, residential setting. The assessment staff attendees will be agreed in advance according to the identified needs (person specific)
- On-site assessments to be co-ordinated as in option B
- There may be occasion, for the purpose of efficiency and geographical challenges, be a call for a combined tour and assessment on-site to be coordinated as in option B

All MDT assessments to be handed/emailed to the Admissions Lead

Decision to progress to offer placement





Admissions to send Pricing Request Template to Revenue Manager



Revenue Manager to send pricing to Finance Director and Director of Wellbeing for sign off



Approved pricing to be sent to Admissions team



Admissions to send pricing and offer letter to Local Authority; Admissions to send offer letter to NOK via email as well as hard copy via post



Admissions to liaise with Recruitment regarding potential admissions and assessed staffing requirement via weekly meeting and for admissions updates.



Local Authority to present to their Complex Needs Panel or Heads / Directors of Service or Lead Commissioners etc. and confirm their wish to proceed; Admissions to find out the panel / review date so as to contact the Social Worker the following day for an update



Paperwork, including contract, from Local Authority to be shared with Admissions; and Admissions share with Revenue Manager





Revenue Manager to share contract with Finance Director and Admissions team



Negotiations with Local Authority conducted by Admissions team in conjunction with Finance Director



Finance Director and CEO to sign off contract. Revenue Manager to send to Local Authority



Local Authority accept and sign contract



Service MDT leads, Education and Social Worker agree admission date



Transition plan is jointly agreed and created by the MDT, Local Authority, young person and family



Home Manager, (where relevant), ensures that transition plan is updated and completed by the agreed date of admission, alongside admissions documents



Child, Young Person, Adult transitions in to the service